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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 783.001

First Inventor Barbara A. Tooman

Title BARN WINDOW

Express Mail Label No. EV313040284US

P.O.  
69  
69  
6922389  
10/6/03  
10/10/03**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 15]
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]
5. Oath or Declaration [ Total Pages 1 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

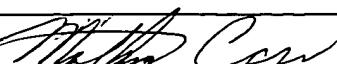
Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner: Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		23,598 <i>(Insert Customer No. or Attach bar code label here)</i>		<input type="checkbox"/> or	<input type="checkbox"/> Correspondence address below
Name					
Address					
City		State		Zip Code	
Country		Telephone		Fax	

Name (Print/Type)	Mathew E. Corr		Registration No. (Attorney/Agent)	45,434
Signature			Date	10/23/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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16085 U.S. PTO  
02/03

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 385.00)

Complete if Known	
Application Number	
Filing Date	
First Named Inventor	Barbara A. Tooman
Examiner Name	
Group Art Unit	
Attorney Docket No.	783.001

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)																																																																																														
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account Deposit Account Number 50-1170 Deposit Account Name Boyle Fredrickson Newholm Stein & Gratz S.C.					<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee Code (\$)</td> <td>Fee Description</td> </tr> <tr> <td>1051</td> <td>130</td> <td>2051 65 Surcharge – late filing fee or oath</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052 25 Surcharge – late provisional filing fee or cover sheet</td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053 130 Non-English specification</td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812 2,520 For filing a request for ex parte reexamination</td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804 920* Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805 1,840* Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251 55 Extension for reply within first month</td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252 210 Extension for reply within second month</td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253 475 Extension for reply within third month</td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254 740 Extension for reply within fourth month</td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255 1,005 Extension for reply within fifth month</td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401 165 Notice of Appeal</td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402 165 Filing a brief in support of an appeal</td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403 145 Request for oral hearing</td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451 1,510 Petition to institute a public use proceeding</td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452 55 Petition to revive – unavoidable</td> </tr> <tr> <td>1453</td> <td>1,330</td> <td>2453 665 Petition to revive – unintentional</td> </tr> <tr> <td>1501</td> <td>1,330</td> <td>2501 665 Utility issue fee (or reissue)</td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502 240 Design issue fee</td> </tr> <tr> <td>1503</td> <td>640</td> <td>2503 320 Plant issue fee</td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460 130 Petitions to the Commissioner</td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807 50 Processing fee under 37 CFR 1.17(q)</td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806 180 Submission of Information Disclosure Stmt</td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021 40 Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>1809</td> <td>770</td> <td>2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))</td> </tr> <tr> <td>1810</td> <td>770</td> <td>2810 385 For each additional invention to be examined (37 CFR § 1.129(b))</td> </tr> <tr> <td>1801</td> <td>770</td> <td>2801 385 Request for Continued Examination (RCE)</td> </tr> <tr> <td>1802</td> <td>900</td> <td>1802 900 Request for expedited examination of a design application</td> </tr> </tbody> </table>					Large Entity	Small Entity	Fee Paid	Fee Code	Fee Code (\$)	Fee Description	1051	130	2051 65 Surcharge – late filing fee or oath	1052	50	2052 25 Surcharge – late provisional filing fee or cover sheet	1053	130	1053 130 Non-English specification	1812	2,520	1812 2,520 For filing a request for ex parte reexamination	1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	1251	110	2251 55 Extension for reply within first month	1252	420	2252 210 Extension for reply within second month	1253	950	2253 475 Extension for reply within third month	1254	1,480	2254 740 Extension for reply within fourth month	1255	2,010	2255 1,005 Extension for reply within fifth month	1401	330	2401 165 Notice of Appeal	1402	330	2402 165 Filing a brief in support of an appeal	1403	290	2403 145 Request for oral hearing	1451	1,510	1451 1,510 Petition to institute a public use proceeding	1452	110	2452 55 Petition to revive – unavoidable	1453	1,330	2453 665 Petition to revive – unintentional	1501	1,330	2501 665 Utility issue fee (or reissue)	1502	480	2502 240 Design issue fee	1503	640	2503 320 Plant issue fee	1460	130	1460 130 Petitions to the Commissioner	1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	1806	180	1806 180 Submission of Information Disclosure Stmt	8021	40	8021 40 Recording each patent assignment per property (times number of properties)	1809	770	2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))	1810	770	2810 385 For each additional invention to be examined (37 CFR § 1.129(b))	1801	770	2801 385 Request for Continued Examination (RCE)	1802	900	1802 900 Request for expedited examination of a design application
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SUBMITTED BY						Complete if applicable
Name (Print/Type)	Mathew E. Corr		Registration No. (Attorney/Agent)	45,434	Telephone	(414) 225-9755
Signature					Date	10/23/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain and retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



BOYLE  
FREDRICKSON  
NEWHOLM  
STEIN&GRATZ sc

INTELLECTUAL PROPERTY LAW

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October 23, 2003

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53(b)  
Inventor(s): Barbara A. Tooman  
Title: BARN WINDOW  
Attorney Docket No.: 783.001

Dear Sir:

Enclosed please find a Utility Patent Application Transmittal, including: Fee Transmittal Form and our check in the amount of \$385.00; 15-page specification; 5 sheets of drawings; 1-page executed Declaration including Power of Attorney; and a return receipt postcard. Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status. Additionally, an Information Disclosure Statement (IDS) and Form PTO-1449 are included herewith for filing in this application.

I hereby request that the attached application NOT be published under 35 U.S.C 122(b). An executed Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) is also enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, in conjunction with this or any future communication related to the above-identified patent application, to Deposit Account No. 50-1170.

Respectfully submitted,

Mathew E. Corr  
Registration No. 45,434

USPTO Customer No. 23,598

Certification Under 37 CFR 1.10

Express Mail Label No: EV313040284US

Date of Deposit: October 23, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Director of the United States Patent and Trademark Office, Washington, D.C. 20231-9999.

Lisa J. Haney

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Barbara A. Tooman
	Title	BARN WINDOW
	Atty. Docket Number	783.001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 23, 2003

Date



Signature

Mathew E. Corr

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**